

**Disclosure of Information for Professional Service Provider to Altoona Water Authority's Municipal Pension System(s)**  
**Professional Service Provider: M&T Bank**

**Regarding individuals who will provide services under the contract:**

|    |                                                                                                                                         | Yes | No |
|----|-----------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1. | Are any current or former officials or employees of the municipality?                                                                   |     | X  |
| 2. | Are any a registered federal or state lobbyist?                                                                                         |     | X  |
| 3. | Have any made a contribution in the past two years to a municipal official or candidate for office at municipality?                     |     | X  |
| 4. | Do any have a direct financial, commercial, or business relationship with any official of the municipality or municipal pension system? | X   |    |

See attached

**Regarding your firm and affiliated entities:**

|    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Yes | No |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1. | Have you or an "affiliated entity" (as defined in Act 44 of 2009) made any contributions to which all of the following apply:<br>a. The contribution was made within the last five years.<br>b. The contribution was made by an officer, director, executive-level employee or owner of at least 5% of the firm or "affiliated entity."<br>c. The amount of the contribution was at least \$500 in the form of<br>i. a single contribution by a person in subparagraph (b) above; or<br>ii. the aggregate of all contributions by all persons in subparagraph (b) above.<br>d. The contribution was made to:<br>i. a candidate for any public office in the Commonwealth or to an<br>ii. individual who holds that office; or<br>iii. a political committee of a candidate for public office in the Commonwealth or of an individual who holds that office. |     | X  |
| 2. | Have you or an "affiliated entity" given any gifts to an official or employee of the municipal pension system or the municipality which controls the municipal pension system?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |     | X  |
| 3. | Do you employ any third-party intermediary, agent or lobbyist?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |     | X  |
| 4. | Do any additional potential or actual conflicts of interest exist relative to contracting of services with the municipality?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |     | X  |

See attached

5. For the contribution(s) disclosed in your answer to No. 1 above, provide the following information:

(attach additional pages if necessary):

Name and address of the contributor:

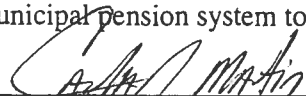
Contributor's relationship to the Contractor:

Name and office or position of each person receiving a contribution:

Amount of the contribution:

Date of the contribution:           None

We understand that knowingly making a material misstatement or omission on this form may cause the municipal pension system to void our professional services contract.

  
 Authorized Signature

The information in this disclosure is provided on behalf of the Altoona City Authority Pension Plan account number 912974102.

**REF-Item #4 -Do any have a direct financial, commercial, or business relationship with any official of the municipality or municipal pension system?**

The following Municipal Officials have a direct financial, commercial, or business relationship with M&T:

Maurice Lawruk - signor for one business account and has one personal account.  
William Geis - signor for eight business accounts and has one personal account.  
Patrick Dumm - signor for seven business accounts and has two personal accounts.  
J Tony Ruggery - signor for six business accounts.  
Thomas Martin - one personal account  
Gina Derubeis - signor for one business account.

**Please see attached Acknowledgment and Consent from the Altoona Water Authority regarding the above referenced accounts.**

**REF-Item # 3-Do you employ any third-party intermediary, agent or lobbyist?**

Since December 17, 2009, to the best of our knowledge, information, and belief, M&T has not employed or paid compensation to a third party intermediary, agent or lobbyist to directly or indirectly communicate with any individual on the List of Municipal Officials in connection with any transaction or investment involving M&T and the municipal pension system.

## **ALTOONA WATER AUTHORITY**

### **Board of Directors**

Maurice A. Lawruk  
23 Mansion Boulevard  
Altoona, PA 16602

William C. Geis  
312 Wopsononock Avenue  
Altoona, PA 16601

Patrick J. Dumm  
2409 11<sup>th</sup> Street  
Altoona, PA 16602

J. Tony Ruggery  
2618 Maple Avenue  
Altoona, PA 16601

Thomas C. Martin  
38 Mansion Boulevard  
Altoona, PA 16602

### **General Manager**

Mark A. Perry  
696 Perry Lane  
Altoona, PA 16601

### **Controller**

Gina M. DeRubeis  
4309 3<sup>rd</sup> Avenue  
Altoona, PA 16602